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|        | 1  | R. JAMES WESTWICK, ESQ.<br>Eight East Figueroa Street                |
|        | 2  | Suite 210<br>Santa Barbara, California 93101                         |
|        | 3  | Telephone : (805) 965-1016   |
|        | 4  | Attorney for Respondent  |
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|        | 8  | STATE OF CALIFORNIA  |
|        | 9  | BEFORE THE COMMISSION ON JUDICIAL PERFORMANCE                        |
|        | 10 |  |
|        | 11 | Inquiry Concerning a Judge, ) ANSWER TO FORMAL PROCEEDINGS           |
|        | 12 | NO. 47 )   |
|        | 13 | ) •  |
|        | 14 | I, JUDGE CHARLES S. STEVENS, JR., answering the charges of the $,^*$ |
|        | 15 | "Notice of Formal Proceedings" heretofore served upon me, admit,     |
|        | 16 | deny and allege as follows:  |
|        | 17 | COUNT ONE  |
|        | 18 | I hereby answer the allegations of Count One of said Notice          |
|        | 19 | as follows:  |
|        | 20 | (a) I deny each and every allegation of Division A.                  |
|        | 21 | (b) Answering the allegations of Division B, I deny each and         |
|        | 22 | every allegation of subparagraphs 1, 4, 5, 6 and 7, and              |
|        | 23 | as an affirmative defense to subparagraph 6, I allege                |
|        | 24 | that the incident therein referred to is barred by the               |
|        | 25 | statute of limitations.  |
|        | 26 | (c) Answering the allegations of Division B, subparagraphs           |
|        | 27 | 2 and 3, I have no recollection of making the statements             |
|        | 28 | therein attributed to me, and I therefore deny that I                |

made such statements. I may, however, have said words to the effect that attitudes in Spanish cultures are sometimes different than ours, and Mexican people have a code of honor which causes them to frequently defend themselves. (d) Answering the allegations of Division C, subparagraph 1, I deny that I made the statements attributed to me. I admit that in a settlement discussion concerning People v. Shapiro (a complex theft by false pretense case), I encouraged the District Attorney to seriously consider a settlement proposal of defense counsel, and stated that Mr. Shapiro appeared to be a "pretty smart fellow", intending thereby to suggest that the case might be more difficult for the People to successfully prosecute. Τ meant nothing racially derogatory by my comment. Answering the allegations of Division C, subparagraph 2, (e) I admit making the alleged statement. It was, however, made in response to a person I was then talking to, who

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remarked of Alan Abersman, our then Court Administrator, that he was Jewish. I was one of the proponents of Mr. Abersman's continued employment, and there were numerous people in authority in the Courthouse who were at the time proposing Mr. Abersman's termination. I intended by my remark to convey my feeling that Mr. Abersman's being Jewish had nothing to do with his employment, and that the important consideration was that he did a good job. (f) I deny the allegation of Division C, subparagraph 3. (g) Answering the allegations of Division D, subparagraph 1,

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I admit having referred to the case of People v. Yu as

1 the "Chop Suey case", but I deny the remaining allega-2 tions of that paragraph. I made the admitted remark to 3 Judge John A. Westwick (the trial judge in People v. Yu) 4 in a private conversation during lunch. In what was 5 intended to be a humorous vein, I said to Judge Westwick 6 that, since he was hearing the "Chop Suey case", he 7 should have the Chow Mein which was on the menu. 8 (h) I admit the remark attributed to me in Division D, sub-9 paragraph 2. It was made by me to the Barristers Club 10 of Santa Barbara, a group of practicing lawyers all of 11 whom I believed were familiar with the facts of the 12 Golden Dragon case and would accept my remark as an 13 attempt at humor, and not as derogatory of Chinese people 14 generally. 15 I deny each and every allegation of Division D, subpara-(i) 16 graph 3. 17 I deny each and every allegation of Divisions E, F, G, (j) 18 H, and I. 19 I deny each and every allegation of Division J, subpara-(k) 20 graph 1. 21 Answering the allegations of Division J, subparagraph 2, (1)22 I admit that on one occasion I declined to speak by tele-23 phone to Assistant District Attorney Nancy Sieh for 24 the reason that the purpose of my call was to discuss with 25 our District Attorney, Mr. Roden, a personnel problem I 26 was then having with Ms. Sieh concerning her attendance 27 at Readiness and Settlement conferences. I deny all the 28 remaining allegations of subparagraph 2.

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|  | 1  | (m) Answering the allegations of Division K. subparagraph 1,        |
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|  | 2  | I admit that on one occasion I stated in open Court "I'll           |
|  | 3  | take up the criminals now". The remark was brought to my            |
|  | 4  | attention by my clerk, and I have not since used that               |
|  | 5  | language. My remark referred to the cases on the criminal           |
|  | 6  | calendar, rather than the individual defendants, and was            |
|  | 7  | not intended to suggest the guilt of the individual defend-         |
|  | 8  | ants.   |
|  | 9  | (n) I deny each and every allegation of Division K, subpara-        |
|  | 10 | graph 2.  |
|  | 11 | COUNT TWO   |
|  | 12 | I deny each and every allegation of Count Two of the Notice         |
|  | 13 | for the same reasons set forth in my answers to Count One of the    |
|  | 14 | Notice.   |
|  | 15 | , COUNT THREE   |
|  | 16 | I deny each and every allegation of Count Three of the Notice       |
|  | 17 | for the same reasons set forth in my answers to Count One of the    |
|  | 18 | Notice.   |
|  | 19 | DATED: February 3, 1981.  |
|  | 20 | $\rho$  |
|  | 21 | CHARLES S. STEVENS, JR. (V).  |
|  | 22 |   |
|  | 23 | STATE OF CALIFORNIA )<br>) ss.                                      |
|  | 24 | COUNTY OF SANTA BARBARA )   |
|  | 25 | I am the Respondent to the above-entitled proceeding. I have        |
|  | 26 | read the foregoing ANSWER TO FORMAL PROCEEDINGS and know the con-   |
|  | 27 | tents thereof; and I certify that the same is true of my own knowl- |
|  | 28 | edge, except as to those matters which are therein stated upon my   |
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|  | 1        | information or belief, and as to those matters I believe it to be |
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|  | 2        | true.   |
|  | 3        | Executed on February 3, 1981, at Santa Barbara, California.       |
|  | 4        | I declare under penalty of perjury that the foregoing is true     |
|  | 5        | and correct.  |
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|  | 7        | CHARLES S. STEVENS, JR.   |
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